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Applicant: Kiyoshi Yamaura, et al.  
Appl. No.: 09/967,310  
Filed: September 28, 2001  
Title: ELECTROCHEMICAL DEVICE AND METHOD FOR PREPARATION  
THEREOF  
Art Unit: 2900  
Examiner: Unknown  
Docket No.: 112857-302

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TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u>      | <u>Inventor</u> |
|---------------------|------------------|-----------------|
| 2002/0006539 A1     | January 17, 2002 | Kubota, et al.  |

**FOREIGN PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u>       | <u>Country</u> |
|---------------------|-------------------|----------------|
| JP03074056          | March 28, 1991    | Japan          |
| JP07134996          | May 23, 1995      | Japan          |
| JP07326363          | December 12, 1995 | Japan          |
| JP2000136493        | May 16, 2000      | Japan          |

**OTHER DOCUMENTS**

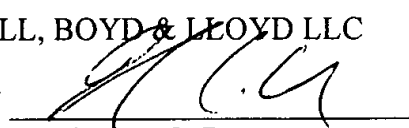
Che, Guangli; Lakshmi Brinda B.; Fisher, Ellen R.; Martin, Charles R.; *Carbon nanotubule membranes for electrochemical energy storage and production*; Nature: May 28, 1998, pp. 346-349.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112857-302

In Re Application Of: Kiyoshi Yamaura, et al.

Serial No.  
09/967,310

Filing Date  
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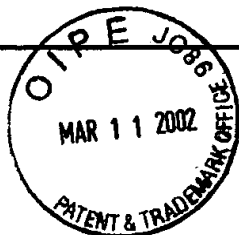
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Unknown

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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September 28, 2001

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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Dated: February 27, 2002

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